

06-11-01

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	5692-002
	First Inventor	DONG, et al
	Title	Solid Cage Fuel Cell Stack
	Express Mail Label No.	EL 380 607 237 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 33] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 16] 5. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Date Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PAPERS 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input checked="" type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Associate P.O.A. 17. <input checked="" type="checkbox"/> Other. Duty of Disclosure (2)
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ Prior application information Examiner Group Art Unit	
For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	

19. CORRESPONDENCE ADDRESS <input type="checkbox"/> Customer Number or Bar Code Label <input type="checkbox"/> (Print Customer No. or Attach bar code label (ung)) or <input checked="" type="checkbox"/> Correspondence address below			
Name	CHARLES A. LAFF		
Address	Laff, Whitesel & Saret / Michael Best & Friedrich		
City	Chicago	State	Illinois
Country	USA	Telephone	312.661.2100
		Fax	661-0029
Name (Print/Type)	Charles A. Laff	Registration No. (Attorney/Agent)	19787
Signature	<i>Charles A. Laff</i>	Date	June 8, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE
UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: DONG, et al) EXPRESS MAIL CERTIFICATE OF
CASE: 5692-002) MAILING FOR: UTILITY PATENT
SERIAL NO.: Filed herewith) APPLICATION TRANSMITTAL
FILED ON: Filed herewith) AND APPLICATION, FEE
FOR: SOLID CAGE FUEL) TRANSMITTAL, DECLARATION,
CELL STACK) POWER OF ATTORNEY,
ASSOCIATE POWER OF
ATTORNEY, ASSIGNMENT,
ASSIGNMENT, RECORDAL
COVER SHEET, 3.73(b)
STATEMENT, DUTY OF
DISCLOSURE STATEMENTS, NON-
PUBLICATION REQUEST and
EXPRESS MAIL CERTIFICATE

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, DC 20231

Dear Sirs:

[X] AUTHORIZATION TO PAY AND PETITION FOR THE ACCEPTANCE OF ANY
NECESSARY FEES: If any charges or fees must be paid in connection with the following
Communication (including but not limited to the payment of issue fees), they may be paid out of
our deposit account No. 12-0064. If this payment also requires a Petition, please construe this
authorization to pay as the necessary Petition which is required to accompany the payment.

[] Applicant herewith petitions the Commissioner of Patents and Trademarks to extend the time for
response to the Office Action dated _____ for _____ month(s) from _____ to
_____. Submitted herewith is check No. _____ for \$_____ to cover the cost of the
extension. If a check is lost, or otherwise does not accompany this Petition, please charge my
deposit account number 12-0064 in the appropriate amount to cover the cost of the extension.
Any deficiency or overpayment should be charged or credited to the above numbered deposit
account.

EXPRESS MAIL mailing label EL 380 607 237 US

Date of Deposit June 8, 2001

I hereby certify that the above listed papers
or fees are being deposited with the United
States Postal Service "Express Mail Post Office
to Addressee" service under 37 CFR 1.10 on the
date indicated above, addressed to the
Commissioner of Patents and Trademarks,
Washington, D.C. 20231. The person mailing
these papers/fees is:

PRINT DAVID PERMEJO

SIGNATURE 

REGISTRATION

Charles A. Laff	19787
J. Warren Whitesel	16830
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401 North Michigan Avenue, Suite 1700
Chicago, Illinois 60611-4212
(312) 661-2100 Fax: (312) 661-0029

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **606.00**

Complete if Known

Application Number _____
Filing Date _____
First Named Inventor **DONG, et al**
Examiner Name _____
Group Art Unit _____
Attorney Docket No. **5692-002**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **12-0064**
Deposit Account Name **Laff, Whitesel & Saret**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status See 37 CFR 1.27
2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
SUBTOTAL (1) (\$)			355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
39	-20** = 19	9	171
4	-3** = 1	40	40
Multiple Dependent			

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
103	18	203	9
102	80	202	40
104	270	204	135
105	80	205	40
110	18	210	9
SUBTOTAL (2) (\$)			211

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,350	218	655
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
136	1,510	136	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			40

SUBMITTED BY

Name (Print/Type) **Charles A. Laff** Registration No. **19787** Telephone **312.661.2100**
Signature *Charles A. Laff* Date **June 8, 2001**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	DONG, et al
Title	SOLID CAGE FUEL CELL STACK
Atty Docket Number	5692-002

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 8, 2001

Date



Signature

Charles A. Laff

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.